

| | | |
|---|----------------------------------|-----------------|
| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO. | APPLICATION NO. |
| | 18724.009 | 10/563,956 |
| | APPLICANTS | |
| | Bernd K. F. KREMER <i>et al.</i> | |
| | FILING DATE | GROUP |
| | January 10, 2006 | 1644 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | REFERENCE PROVIDED* |
|------------------|-----|--------------------|------------|----------------------|--------------------------------------|
| | AA1 | US 7,138,275 B2 | 11/21/2006 | Kremer <i>et al.</i> | not required, per 69 Fed. Reg. 56481 |
| | AB1 | US 7,410,773 B2 | 8/12/2008 | Abuljadayel | not required, per 69 Fed. Reg. 56481 |
| | AC1 | US 2001/0024826 A1 | 9/27/2001 | Abuljadayel | not required, per 69 Fed. Reg. 56481 |
| | AD1 | US 2003/0064503 A1 | 4/3/2003 | Abuljadayel | not required, per 69 Fed. Reg. 56481 |
| | AE1 | US 2003/0138946 A1 | 7/24/2003 | Cai <i>et al.</i> | not required, per 69 Fed. Reg. 56481 |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | REFERENCE PROVIDED* | TRANSLATION |
|------------------|-----|-----------------|------------|---------|---------------------|-------------|
| | AF1 | WO 02/056830 A2 | 07/25/2002 | PCT | herewith | Yes No |
| | | | | | | Yes No |

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

| | | | REFERENCE PROVIDED* |
|----------|---|--|---------------------|
| AG1 | Brem-Exner <i>et al.</i> , "Macrophages Driven to a Novel State of Activation Have Anti-Inflammatory Properties in Mice", <i>Journal of Immunology</i> , 180:335-349 (2007) | | herewith |
| AH1 | Celestin <i>et al.</i> , "IL-3 Induces B7.2 (CD86) Expression and Costimulatory Activity in Human Eosinophils", <i>Journal of Immunology</i> , 167:6097-6104 (2001) | | herewith |
| AI1 | Chatenoud <i>et al.</i> , "The long and winding road towards induction of allograft tolerance in the clinic", <i>European Society for Organ Transplantation</i> , pp. 1-3 (May 2008) | | herewith |
| AJ1 | Glanemann <i>et al.</i> , "Transplantation of Monocyte-Derived Hepatocyte-Like Cells (NeoHeps) Improves Survival in a Model of Acute Liver Failure", <i>Annals of Surgery</i> , 249(1):149-154 (January 2009) | | herewith |
| AK1 | Huang <i>et al.</i> , "Correlation Between IL-3 Receptor Expression and Growth Potential of Human CD34+ Hematopoietic Cells from Different Tissues", <i>Stem Cells</i> , 17:265-272 (1999) | | herewith |
| AL1 | Hutchinson <i>et al.</i> , "A cell-based approach to the minimization of immunosuppression in renal transplantation", <i>European Society for Organ Transplantation</i> , 21:742-754 (2008) | | herewith |
| AK1 | Hutchinson <i>et al.</i> , "Administration of donor-derived transplant acceptance-inducing cells to the recipients of renal transplants from deceased donors is technically feasible", <i>Clin Transplant</i> 2009, 23:140-145 (2008) | | herewith |
| EXAMINER | | | DATE CONSIDERED |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

*Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a prior application. Pursuant to 37 C.F.R. § 1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior application, where the prior application is identified by its U.S. Application Number in this Information Disclosure Statement.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AJ/

| | | | |
|--|---|---|-------------------------------|
| FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u> | | ATTY. DOCKET NO. 18724.009 | APPLICATION NO. 10/563,956 |
| | | APPLICANTS Bernd K. F. KREMER <i>et al.</i> | |
| | | FILING DATE January 10, 2006 | GROUP 1644 |
| OTHER (Including Author, Title, Date, Pertinent Pages, etc.) | | | REFERENCE PROVIDED* |
| AA2 | Hutchinson <i>et al.</i> , "Preoperative treatment of a presensitized kidney transplant recipient with donor-derived transplant acceptance-inducing cells", <i>European society for Organ Transplantation</i> , 21:808-813 (2008) | herewith | |
| AB2 | Hutchinson <i>et al.</i> , "Transplant acceptance-inducing cells as an immune-conditioning therapy in renal transplantation", <i>European Society for Organ Transplantation</i> , 21:728-741 (2008) | herewith | |
| AC2 | Imamura <i>et al.</i> , "Induction of MHC class II Antigen Expression on Murine Microglia by Interleukin-3", <i>Journal of Neuroimmunology</i> , 55:119-125 (1994) | herewith | |
| AD2 | Kingsley <i>et al.</i> , "CD25 ⁺ CD4 ⁺ Regulatory T Cells Prevent Graft Rejection: CTLA-4- and IL-10-Dependent Immunoregulation of Alloresponses", <i>Journal of Immunology</i> , 168:1080-1086 (February 2002) | herewith | |
| AE2 | Rappold <i>et al.</i> , "Functional and Phenotypic Characterization of Cord Blood and Bone Marrow Subsets Expressing FLT3 (CD135) Receptor Tyrosine Kinase", <i>Blood</i> , 90:111-125 (1997) | herewith | |
| AF2 | Warnecke <i>et al.</i> , "Postoperative intravenous infusion of donor-derived transplant acceptance-inducing cells as an adjunct immunosuppressive therapy in a porcine pulmonary allograft model", <i>European Society for Organ Transplantation</i> , 22:332-341 (2009) | herewith | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| EXAMINER /Amy Juedes/ | | | DATE CONSIDERED 03/24/2010 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | | | |
| *Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a prior application. Pursuant to 37 C.F.R. § 1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior application, where the prior application is identified by its U.S. Application Number in this Information Disclosure Statement. | | | |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AJ/